UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2011 MAY 10 PM 12:30
MC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

C.A. Dutch Ruppersberger — (Full Name)
202-225-3061 — (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representative — State: MD, District: 02	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	☑	☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	☐	☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	☑	☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	☑	☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	☐	☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	☐	☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? If yes, complete and attach Schedule VII.	☐	☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	☑	☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	☑	☐

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☐	☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	☐	☑

SCHEDULE I - EARNED INCOME

Name C.A. Dutch Ruppersberger

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Baltimore County, Maryland	Retirement Pension	$89,863

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name C.A. Dutch Ruppersberger

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Agency & Treasury Bonds (IRA) Ishares	$50,001 - $100,000	INTEREST	$201 - $1,000	
SP	American Balanced Fund (IRA)	$1,001 - $15,000	DIVIDENDS	NONE	
	AMEX SPDR (IRA)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
JT	Bank of America	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	Bristol Myers Squibb Co. (JJM Trust)	$1,001 - $15,000	CAPITAL GAINS	NONE	
	Brock Global Energy (IRA)	None	DIVIDENDS	$1,001 - $2,500	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name C.A. Dutch Ruppersberger

SP	Cohen & Steers Reit & Utility (JJM Trust)	None	CAPITAL GAINS	$1,001 - $2,500	S
SP	Corporate Office PPTYS TR (JJM Trust)	$1,001 - $15,000	NONE	NONE	
SP	Duke Enegy Corp. (JJM Trust)	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	
	Eaton Vance TAD Income Fund (IRA)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Eaton Vance TAD Income Fund (IRA)	None	DIVIDENDS	$1 - $200	S
	Fidelity Energy SVC2 (SEP IRA)	$15,001 - $50,000	NONE	NONE	
	Fidelity Equity Income Fund (IRA)	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	
SP	First Mariner	None	INTEREST	$201 - $1,000	S
SP	Gambelli Dividend & Income (JJM Trust)	None	CAPITAL GAINS	$5,001 - $15,000	S
	GE Capital Corp. (IRA)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Gladstone Commercial Corp. (JJM Trust)	None	CAPITAL GAINS	$5,001 - $15,000	S
SP	Gladstone Investment Corp. (JJM Trust)	$1,001 - $15,000	NONE	NONE	
SP	Growth Fund of America (JJM Trust)	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	
SP	High Yield Corporate Bond (IRA)	None	INTEREST	$201 - $1,000	S

	High Yield Corporate Bond (IRA)	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Inflation Protected TIPS (IRA) Ishares	$50,001 - $100,000	INTEREST	$201 - $1,000	
)	Inflation Protected TIPS (IRA) Ishares	$1,001 - $15,000	INTEREST	$1 - $200	
	JPM IT MDCAP (SEP IRA)	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	P
)	MFS SunLife Fixed/Variable Annuity - General Account (no specific holdings)	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	MFS SunLife Fixed/Variable Annuity - General Account (no specific holdings)	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
	MSCI EAFE INDEX (IRA)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	MSCI Emerging Mkts (IRA)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
ɔ	MSCI Emerging Mkts (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Neu Ber Genesis Fund (IRA)	$50,001 - $100,000	INTEREST	$5,001 - $15,000	
	NW Fixed Acct. (CASH Acct.) (IRA)	$50,001 - $100,000	INTEREST	$201 - $1,000	
	NW NVIT Gov BD	$15,001 - $50,000	NONE	NONE	P
	OPP NVIT LG CAP	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
P	Oracle Corp (JJM Trust)	$1,001 - $15,000	CAPITAL GAINS	$2,501 - $5,000	

	Powershares China (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Powershares DA (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Powershares DB (IRA)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Rupp & Assoc. Inc. (S Corp) (Blind Trust) Timonium, Md. - Legal/ Collections	$100,001 - $250,000	DIVIDENDS	$50,001 - $100,000	
SP	Sector Select SPDR Fund (JJM Trust)	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
SP	Spectra Energy Corp. (JJM Trust)	$1,001 - $15,000	DIVIDENDS	$201 - $1;000	
SP	Staples Inc. (JJM Trust)	$1,001 - $15,000	CAPITAL GAINS	$2,501 - $5,000	
JT	Summer Beach #608 Ocean City, MD.	$250,001 - $500,000	RENT	$5,001 - $15,000	
SP	Summit Community Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	
	TDAM Ins Dep. (IRA)	$15,001 - $50,000	None	NONE	
SP	TDAM Ins. Dep. (IRA)	$1,001 - $15,000	None	NONE	
SP	Teleflex Inc. (JJM Trust)	$1,001 - $15,000	CAPITAL GAINS/DIVIDEN DS	$201 - $1,000	
SP	US Govt. MNY MKT. (JJM Trust)	$1 - $1,000	None	NONE	P

	Vanguard US LG CAP (IRA)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Vanguard US Mid CAP (IRA)	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Vanguard US SM CAP (IRA)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	W & R Advisor High Income (IRA)	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P

SCHEDULE IV - TRANSACTIONS

Name C.A. Dutch Ruppersberger

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
	Brock Global Energy (IRA)	S	Yes	10/10	$15,001 - $50,000
SP	Cohen & Steers Reit & Utility (JJM Trust)	S	Yes	3/10	$1,001 - $15,000
SP	Eaton Vance TAD Income Fund (IRA)	S	Yes	11/10	$1,001 - $15,000
	Fidelity Contrafund (SEP IRA)	S	No	12/10	$50,001 - $100,000
SP	First Mariner	S	No	12/10	$1,001 - $15,000
	Ford Motor Co. (IRA)	S	No	12/10	$1,001 - $15,000
SP	Gambelli Dividend & Income (JJM Trust)	S	Yes	5/10	$1,001 - $15,000
SP	Gladstone Commercial Corp. (JJM Trust)	S	Yes	5/10	$1,001 - $15,000
SP	High Yield Corporate Bond (IRA)	S	Yes	11/10	$1,001 - $15,000
	JPM IT MDCAP (SEP IRA)	P	N/A	1/10	$15,001 - $50,000
SP	MSCI Emerging Mkts (IRA)	P	N/A	7/10	$1,001 - $15,000
	MSCI Emerging MKts (IRA)	P	N/A	12/10	$15,001 - $50,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
	NW NVIT Gov BD	P	N/A	4/10	$15,001 - $50,000
	OPP NVIT LG CAP	P	N/A	12/10	$15,001 - $50,000
SP	Powershares DA (IRA)	P	N/A	10/10	$1,001 - $15,000
	W & R Advisor High Income (IRA)	P	N/A	4/10	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name C.A. Dutch Ruppersberger

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	University of Baltimore Law School Advisory Council
Board Member	University of MD. Medical Systems Shock Trauma
Board Member	United States Navel Academy

SCHEDULE IX - AGREEMENTS

Name C.A. Dutch Ruppersberger

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
8-24-75	Baltimore County Employment Retirement System	Baltimore County Pension Plan & Deferred Compensation Plan